

Fiscal Year 2022-2023 Audit Work Plan

Ref.#	Audit Subject Area	Department	Audit Type
Carried-Over Projects from FY 2021-2022 to FY 2022-2023			
1	Public Record Request	CCD	Performance
2	Tree Trimming Contract Audit	PWD	Contract Compliance
New Projects Planned for FY 2022-2023			
1	Insight Public Sector Contract Audit	ITD	Contract Compliance
2	Workers' Compensation Disability Settlement Process Audit	HRD	Performance
3	Data Center Security Assessment	ITD	Performance
4	Landfill JPA with Sanitation District Audit	PWD	Contract Compliance
5	Water System Pipeline Management Program Audit	GWP	Contract Compliance
6	Vehicle Towing Contract Audit	GPD	Contract Compliance
7	Parking Citations Contract Audit	PWD	Contract Compliance
8	Business Registration Certificate Audit	CDD	Performance
9	Fuel Purchase Contract Audit	PWD	Contract Compliance
10	Fleet Operations Audit - Vendor Management	PWD	Performance
11	PCI Compliance Audit (3)	CTD	Compliance
Continuous and On-Going Advisory Projects			
CA1	User Access Control (AD and Munis)	ITD	Periodic
CA2	Duplicate Payments	FIN	Periodic
CA3	Procurement Cards	FIN	Periodic
CA4	Double Dipping between Procurement Cards and Employee Expense Claims	FIN	Periodic
CA5	Procurement Card Usage with Existing PO	FIN	Periodic
CA6	Vendor Risk Assessment	FIN	Periodic
CA7	GASB 87 Leases	FIN	Periodic
T1	Energy Risk Management Committee	GWP	Advisory
T2	Investment Policy Advisory Committee	CTD	Advisory
T3	PCI Compliance Team	CTD	Advisory
Total Planned Projects for FY 2022-2023: 25			
Other Potential Projects:			
O1	Basic Life Support (BLS) Program Analysis	GFD	Performance
O2	Traffic Signal Maintenance Contract Audit	PWD	Contract Compliance
O3	IT Asset Management - LTE Services	ITD	Performance
O4	Medical Services - Vital Contract Audit	GPD	Contract Compliance
O5	Credit Card Refund Process Audit	CTD	Performance
O6	Building Permit Process Audit	CDD	Performance
O7	Western Reservoir Project Audit	GWP	Contract Compliance
O8	Special Event Permits Audit	MSD	Performance
O9	Multi-Project Affordable Housing Lottery Audit/Advisory	CDD	Performance